SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

		•	Washington, D.C. 20549 OMB APPROVA														VAL			
Section obligat	this box if no lo n 16. Form 4 or ions may contir tion 1(b).		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940													Estin		er: verage burde sponse:	3235-0287 n 0.5	
transac contrac the pur securit to satis conditio	chase or sale of	e pursuant to a r written plan for of equity r that is intended ve defense																		
1. Name and Address of Reporting Person [*] Chaturvedi Pravin R																	licable) ctor			vner
(Last) (First) (Middle) C/O JAGUAR HEALTH, INC. 200 PINE STREET, SUITE 400																	Other (: below)	specity		
(Street) SAN FRANCISCO CA 9410				4104			 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (C Line) Form filed by One Reportin Form filed by More than O Person 										orting Perso	n		
(City) (State) (Zip)																				
		Tab	ole I - No	n-Deriv	vativ	e Se	curities	s Ac	quired	l, Di	sp	osed o	of, oi	r Ben	eficia	lly Owne	d			
1. Title of	2. Transa Date (Month/D				2A. Deemed Execution Date, if any (Month/Day/Year)		Cod	A. Secur Dispose de (Instr. 5)		rities Acquired (A) ad Of (D) (Instr. 3, 4		d (A) or r. 3, 4 aı	nd Secur Benef Owne	cially Following	Forn (D) c	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership			
										e V	′	Amount		(A) or (D)	Price		ted action(s) 3 and 4)	tion(s)		(Instr. 4)
Common Stock ⁽¹⁾ 10/08/2						024 ⁽²⁾⁽³⁾			Α			4,922	4,922 A		\$() 4	4,957 ⁽⁴⁾		D	
		-	Table II -				urities s, warr									y Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		of E		Expirati	6. Date Exercisabl Expiration Date (Month/Day/Year)			of Securities		s Security	Derivativ Security		e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	t (Instr. 4)
															Amount or Number					

Explanation of Responses:

\$1.29

Stock option (right to

buy)⁽¹⁾

1. Granted pursuant to the issuer's 2014 Stock Incentive Plan.

10/08/2024⁽²⁾

2. The option and restricted stock unit grants were approved by the issuer's board of directors on October 8, 2024.

3. Each restricted stock unit represents a contingent right to receive one share of the issuer's voting common stock. The restricted stock units vest in three equal annual installments beginning on October 8, 2025. Vested shares will be delivered to the reporting person on the vesting date or dates provided in the grant notice.

Date Exercisable

(5)

Expiration Date

10/08/2034

Title

Common

Stock

4. On May 23, 2024, the issuer effected a 60-for-1 reverse stock split of the issued and outstanding shares of its voting common stock (the "Reverse Stock Split). Upon effectiveness of the Reverse Stock Split, every 60 shares of voting common stock was automatically converted into one share of voting common stock.

5. The options will vest ratably on a monthly basis over 36 months from the grant date, so long as the executive remains employed by the issuer.

Code V

Α

(A)

39,376

(D)

<u>/s/ Jonathan Wolin, Attorney-</u><u>1(</u> <u>in-Fact</u>

of Shares

37,376

\$<mark>0</mark>

10/10/2024

39,376

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.